

PATENT 0505-4001 Express Mail Label No.

EK052444438US

LIKU.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS Box Patent Application Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Christopher Keith

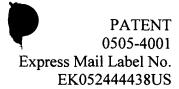
105 Hudson Street Apt. 5S, New York, New York 10013

For: TRADING SYSTEM WITH ELFS AND UMPIRES

Enclosed are

Enclosed are
[X]19_ pages of specification,1_ page of Abstract,3_ pages of claims1-16 [X] _5_ sheets of drawings [] formal [X] informal [X] _1_ pages of Declaration and Power of Attorney
[] unsigned [X] newly executed [] copy from prior application
[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)
[] Claim for Priority [] Cartified conv. of Priority Decuments [] English translation
[] Certified copy of Priority Documents [] English translation [] Information Disclosure Statement [] copy of cited references
[] copy of PTO-1449 filed in parent application serial no.
Preliminary Amendment
[X] Return receipt postcard
Assignment papers (assignment cover sheet and assignment documents)
[] a check in the amount of \$40.00 for recording the Assignment
[] Assignment papers filed in parent application serial no.
[] Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)
[] This is a [] continuation [] divisional [] continuation-in-part (CIP)
of prior application serial no.
[] Cancel in this application original claims of the parent application before calculating the
filing fee (at least one original independent claim must be retained for filing purposes).
[] A Preliminary Amendment is enclosed, adding claims numbered consecutively after the highest numbered original claim in the prior application.
The status of the parent application is as follows:
[] A Petition For Extension of Time and proper Fee has been or is being filed in the parent
application to extend the term for action in the parent application until
[] A copy of the Petition For Extension of Time in the co-pending parent application is attached.
[] No Petition For Extension of Time is necessary in the co-pending parent application.
[] Please abandon the parent application at a time while the parent application is pending or at a time
when the Petition For Extension of Time in that application is granted and while this application is
pending or has been granted a filing date, so as to make the application co-pending.
[] Transfer the drawings from the parent application to this application.
[] Amend the specification by inserting before the first line the sentence:
This is a [] continuation [] divisional [] continuation-in-part (CIP) of co-pending application
serial no filed





#### **CALCULATION OF APPLICATION FEE (For a Small Entity)**

	No. Filed	No. Included in Basic Fee	No. Extra	Rate per Claim	TOTAL
Basic Fee					345
Total Claims	16	20		9	
Independent Claims	3	3		39	
Multiple Dependent				130	
Claims					
TOTAL					345

[X] A [X] signed Statement Claiming Small Entity Status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(f) are paid herewith.

[X] A check in the amount of \$345.00 in payment of the application filing fee is attached.

Respectfully submitted,

Date: April 10, 2000

Brenda Pomerance Reg. No. 36,894

**CORRESPONDENCE ADDRESS:** 

Brenda Pomerance 260 West 52 St. Apt. 27B New York, New York 10019 (212) 245-3940



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Christopher Keith

Filed

Herewith

Group Art Unit:

Examiner

For

TRADING SYSTEM WITH ELFS AND UMPIRES

### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No: <u>EK052444438US</u>

Date of Deposit: April 10, 2000

I hereby certify that the following attached paper(s) and/or fee

- 1. Application including 19 pg. specification, 1 pg. Abstract, 3 pg. claims
- 2. 5 sheets drawings
- 3. Declaration, signed
- 4. Statement Claiming Small Entity Status
- 5. Application Fee Transmittal
- 6. Check for \$345.00
- 7. Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Brenda Pomerance

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